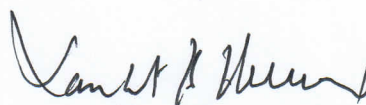


## Certification

I, Atty. Lamberto B. Mercado, Jr., Compliance Officer of Metro Alliance Holdings & Equities Corporation, with SEC registration number 296 with principal office at 35<sup>th</sup> Flr. One Corporate Center, Dona Julia Vargas, cor. Meralco Ave., Ortigas Center, Pasig City, on oath state:

- 1) That on behalf of Metro Alliance Holdings & Equities Corporation, I have caused this SEC Form 17-C Advisement Letter on CG Webinar to be prepared;
- 2) That I read and understood its contents which are true and correct of my own personal knowledge and/or based on true records;
- 3) That the company, Metro Alliance Holdings & Equities Corporation, will comply with the requirements set forth in SEC Notice dated June 24, 2020 for a complete and official submission of reports and/or documents through electronic mail; and
- 4) That I fully aware that documents filed online which requires pre-evaluation and/or processing fee shall be considered complete and officially received only upon payment of a filing fee.

IN WITNESS WHEREOF, I have hereunto set my hands this NOV 25 2021 day of PASIG CITY, 2021.

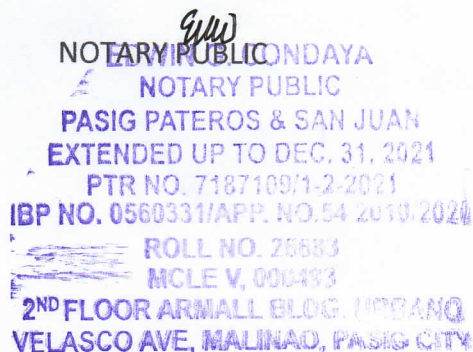


Affiant

TIN: 136-012-428

SUBSCRIBED AND SWORN to before me this NOV 25 2021 day of \_\_\_\_\_, 2021.

Doc No. 319  
Page No. 5  
Book No. CD111  
Series of 2021.

  
NOTARY PUBLIC <sup>gww</sup> CONDAYA  
NOTARY PUBLIC  
PASIG PATEROS & SAN JUAN  
EXTENDED UP TO DEC. 31, 2021  
PTR NO. 7187109/1-2-2021  
IBP NO. 0560331/APP. NO. 54 2010/2021  
ROLL NO. 26583  
MCLE V. 000483  
2<sup>ND</sup> FLOOR ARMALL BLDG. URBANO  
VELASCO AVE, MALINAO, PASIG CITY

# COVER SHEET

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SEC Registration No.

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(Company's Full Name)

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(Business Address : No. Street City / Town / Province)

<b>Atty. Nestor S. Romulo</b>
-------------------------------

Contact Person

<b>(632) 706-7888</b>
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Contact Telephone No.

1	2		3	1
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Fiscal Year

A	D	V	I	S	E	M	E	N	T
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FORM TYPE

Month      Day

Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

<b>789</b>
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Total No. of Stockholders

Total Amount of Borrowings

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Domestic

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Foreign

To be accomplished by SEC Personnel concerned

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File Number

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LCU

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Document I.D.

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Cashier

STAMPS
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Remarks = pls. use black ink for scanning purposes



**METRO ALLIANCE**  
HOLDINGS & EQUITIES CORP.

24 November 2021

**SECURITIES AND EXCHANGE COMMISSION**

Secretariat Building, PICC Complex,  
Roxas Boulevard, Pasay City

Attention: Rachel Esther J. Gumtang-Remalante  
*Director, Corporate Governance and Finance Department*

Vicente Graciano P. Felizmenio, Jr.  
*Director, Markets and Securities Regulation Department*

Re: ACGR Advisement Letter on Orientation and Education Program

Gentlemen:

In compliance with the requirements of the Securities and Exchange Commission (“SEC”) Memorandum Circular No. 20, Series of 2013, **Metro Alliance Holdings & Equities Corp.**’s directors and key officers as cited below, attended a Corporate Governance Webinar via Zoom Meeting on November 19, 2021, Friday, conducted by the Risks, Opportunities, Assessment and Management (ROAM), Inc., a Corporate Governance training provider accredited by the SEC.

1.	Elvira A. Ting	Chairman
2.	Kenneth T. Gatchalian	President
3.	Atty. Lamberto B. Mercado, Jr.	Director; Compliance Officer
4.	Atty. Aristeo R. Cruz	Independent Director
5.	Atty. Nestor S. Romulo	Director; Corporate Secretary & Corporate Information Officer
6.	Reno I. Magadia	Director
7.	Byoung Hyun Suh	Independent Director
8.	Richard L. Ricardo	Treasurer; VP-Corporate Affairs
9.	Annabelle T. Abunda	Finance Officer

Pursuant to SEC Memorandum Circular Nos. 1 and 13, Series of 2014, we hereby issue and submit this Advisement Letter and copies of certificates which will serve to update item 6 on the Orientation and Education Program of our Annual Corporate Governance report, and be deemed part thereof.

Very truly yours,

**METRO ALLIANCE HOLDINGS & EQUITIES CORP.**

By:

**Atty. Lamberto B. Mercado, Jr.**  
Compliance Officer



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Elvira A. Ting*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

**CERTIFICATE OF COMPLETION**

to

*Kenneth T. Gatchalian*

for having completed the webinar on

**CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

**CERTIFICATE OF COMPLETION**

to

*Atty. Lamberto B. Mercado, Jr.*

for having completed the webinar on

**CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Atty. Aristeo R. Cruz*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

**CERTIFICATE OF COMPLETION**

to

*Atty. Nestor S. Romulo*

for having completed the webinar on

**CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Reno I. Magadia*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Byoung Hyun Suh*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Richard L. Ricardo*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

**CERTIFICATE OF COMPLETION**

to

*Annabelle T. Abunda*

for having completed the webinar on

**CORPORATE GOVERNANCE**

held on 19 November 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President