



SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/lmessagemo@sec.gov.ph



The following document has been received:

Receiving: RICHMOND CARLOS AGTARAP

Receipt Date and Time: November 10, 2023 04:21:36 PM

Company Information

SEC Registration No.: PW00000296

Company Name: METRO ALLIANCE HOLDINGS & EQUITIES CORP.

Industry Classification: J66940

Company Type: Stock Corporation

Document Information

Document ID: OST11110202381788522

Document Type: Certification of Attendance in Corporate Governance

Document Code: ITP-CG-CERTIFICATES

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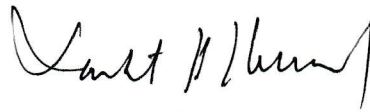
Acceptance of this document is subject to review of forms and contents

Certification

I, Lamberto B. Mercado, Jr., Compliance Officer of Metro Alliance Holdings & Equities Corporation, with SEC registration number 296 with principal office at 35th Flr. One Corporate Center, Doña Julia Vargas, cor. Meralco Ave., Ortigas Center, Pasig City, on oath state:

- 1) That on behalf of Metro Alliance Holdings & Equities Corporation, I have caused this SEC Form 17-C Advisement Letter for Corporate Governance Webinar to be prepared;
- 2) That I read and understood its contents which are true and correct of my own personal knowledge and/or based on true records;
- 3) That the company, Metro Alliance Holdings & Equities Corporation, will comply with the requirements set forth in SEC Notice dated June 24, 2020 for a complete and official submission of reports and/or documents through electronic mail; and
- 4) That I fully aware that documents filed online which requires pre-evaluation and/or processing fee shall be considered complete and officially received only upon payment of a filing fee.

IN WITNESS WHEREOF, I have hereto set my hands this NOV 10 2023 day of _____, 2023.



Affiant

TIN: 136-012-428

NOV 10 2023

SUBSCRIBED AND SWORN to before me this _____ day of _____, 2023.

NOTARY PUBLIC

PASIG CITY

FERDINAND D. AYAHAO

Notary Public

For Pasig City, Pateros and San Juan City

Appointment No.108 (2022-2023) valid until 12/31/2023

MCLE Exemption No. VII-BEP003719 valid until 04/14/25

Roll No. 46377; IBP LRN 02459; OR 535886; 06/21/2001

TIN 123-011-785; PIR 0161665; 01/06/23; Pasig City

Unit 5, West Tower PSE, Exchange Road

Ortigas Center, Pasig City Tel.+632-86314090

JOC. NO. 27
PAGE NO. 9
BOOK NO. 211
SERIES OF 2023



November 10, 2023

SECURITIES AND EXCHANGE COMMISSION

8 and 17/F SEC Headquarters, 7907 Makati Avenue
Barangay Bel-air, Makati City 1209

Attention: Rachel Esther J. Gumtang-Remalante
Director, Corporate Governance and Finance Department

Vicente Graciano P. Felizmenio, Jr.
Director, Markets and Securities Regulation Department

Re: ACGR Advisement Letter on Orientation and Education Program

Gentlemen:

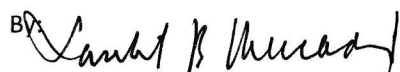
In compliance with the requirements of the Securities and Exchange Commission ("SEC") Memorandum Circular No. 20 Series of 2013, **Metro Alliance Holdings & Equities Corp.**'s directors and key officers as cited below, attended a Corporate Governance webinar via Zoom Meeting on November 7, 2023, Tuesday, conducted by the Risks, Opportunities, Assessment and Management, Inc. (ROAM, Inc.), a Corporate Governance Training Provider accredited by the SEC.

1.	Elvira A. Ting	Chairman of the Board of Directors
2.	Kenneth T. Gatchalian	Director / President
3.	Atty. Lamberto B. Mercado, Jr.	Director / Compliance Officer
4.	Atty. Aristeo R. Cruz	Independent Director
5.	Atty. Nestor S. Romulo	Director / Corporate Secretary
6.	Reno I. Magadia	Director
7.	Byoung Hyun Suh	Independent Director
8.	Richard L. Ricardo	Treasurer / VP-Corporate Affairs
9.	Annabelle T. Abunda	Finance Officer
10.	Omar M. Guinomla	Data Protection Officer / Compliance Officer for Anti-Money Laundering Council

Pursuant to SEC Memorandum Circular Nos. 1 and 13 series of 2014, we hereby issue and submit this Advisement Letter and copies of certificates which will serve to update item 6 on the Orientation and Education Program of our Annual Corporate Governance Report, and be deemed part thereof.

Very truly yours,

METRO ALLIANCE HOLDINGS & EQUITIES CORP.

BY: 

ATTY. LAMBERTO B. MERCADO, JR.
Compliance Officer



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Elvira A. Ting

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Kenneth T. Gatchalian

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Atty. Lamberto B. Mercado, Jr.

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Atty. Aristeo R. Cruz

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Atty. Nestor S. Romulo

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Reno I. Magadia

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Byoung Hyun Suh

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Richard L. Ricardo

for having completed the webinar on

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held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



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Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Annabelle T. Abunda

for having completed the webinar on

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held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Omar M. Guinomla

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President